



SDG Securities (HK) Limited (CE Number: BMB958) 山金證券(香港)有限公司(中央編號: BMB958)  
Securities Settlement Form 證券交收表格

Client Information 客戶資料	
Account Name 帳戶名稱	Account Number 帳戶號碼  ("Account") ("帳戶") ("Client") ("客戶")

Settlement Information 交收資料	
Instruction Type 指令類別 <input type="checkbox"/> Settlement instruction 交收指示 <input type="checkbox"/> Investor settlement instruction 投資者交收指示	
Settlement Type 交收類別 <input type="checkbox"/> Deposit 存入 <input type="checkbox"/> Withdrawal 提取	
Counterparty Information 交收對手資料	
Counterparty Name 交收對手名稱	Participant ID 編號
Contact Person Name 聯絡人姓名	Contact Number 聯絡電話號碼
Payment Option 付款選項 <input type="checkbox"/> Free of payment 無需付款 <input type="checkbox"/> Delivery versus payment 貨銀對付	
Amount (HK\$) 金額 (港元)	Settlement Date 交收日期

Securities Information 證券資料			
#1	Securities/ISIN Code 證券 / ISIN 代號	Securities Name 證券名稱	Quantity 數量
#2	Securities/ISIN Code 證券 / ISIN 代號	Securities Name 證券名稱	Quantity 數量
#3	Securities/ISIN Code 證券 / ISIN 代號	Securities Name 證券名稱	Quantity 數量

Client Declaration 客戶聲明	
<ul style="list-style-type: none"><li>I/We confirm that there is no change in beneficial ownership through effecting this instruction. I/We also undertake any cost and expense in respect to this instruction should be deducted from the Account. 本人 / 吾等確認上述股份的實益擁有權並沒有因進行本指示而出現變動。本人 / 吾等亦承諾就本指示所產生的任何費用或開支從帳戶內扣除。</li><li>I/We undertake to indemnify and keep SDG Securities (HK) Limited ("SDGS") indemnified in respect of any costs and expenses, whatsoever which may be suffered or incurred by SDGS in connection with this instruction. 本人 / 吾等承諾就本指示所可能令山金證券(香港)有限公司("山金證券")蒙受或承擔的任何費用或開支, 向山金證券作出彌償及使之獲得彌償。</li></ul>	
Signed by Client 客戶簽署	Name 姓名
	Date (dd/mm/yyyy) 日期 (日 / 月 / 年)



For Internal Use Only 內部專用	
<b>Checklist 清單</b>	
<b>Signature Verified 已驗證簽名</b> <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	
<b>Approval 審批</b>	
<b>Checked by Licensed Person 持牌人檢查</b>  Date: 日期 :	<b>Approved by Responsible Officer 負責人員審批</b>  Date: 日期 :
<b>Approved by Chief Operating Officer 營運總監審批</b>  Date: 日期 :	<b>Data Inputted by Settlement Officer 結算主任輸入資料</b>  Date: 日期 :
<b>Inputted Data Checked by Settlement Officer 結算主任檢查已輸入資料</b>  Date: 日期 :	