



SDG Securities (HK) Limited (CE Number: BMB958) 山金證券(香港)有限公司(中央編號: BMB958)  
Comprehensive Product Application Form 綜合產品申請表格

Client Information 客戶資料	
Account Name 帳戶名稱	Account Number 帳戶號碼  ("Account") ("帳戶") ("Client") ("客戶")
Application Information 申請資料	
I/We hereby request to apply for the following account(s)/service(s) and confirm that I/we have been provided the copy of the Client Agreement of SDG Securities (HK) Limited and the Risk Disclosure Statements in either English or Chinese in accordance with my/our choice 本人/吾等現申請以下帳戶/服務及確認山金證券(香港)有限公司已按照本人/吾等選擇的語言(英文或中文)提供了客戶協議及風險披露聲明之副本。	
Market Type (choose all that apply) 市場類別 (選擇所有適用選項)	
Securities 證券	
<input type="checkbox"/> Hong Kong equities 香港股票	<input type="checkbox"/> China Connect 中華通
<input type="checkbox"/> Global equities 環球股票	<input type="checkbox"/> Bonds (professional investors only) 債券 (只限專業投資者)
Futures 期貨	
<input type="checkbox"/> Hong Kong futures 香港期貨	
Service Type (choose all that apply) 服務類別 (選擇所有適用選項)	
<input type="checkbox"/> Margin financing 保證金融資	<input type="checkbox"/> Internet trading 互聯網交易
<input type="checkbox"/> Discretionary account management 全權委託帳戶管理 <sup>®</sup>	
<sup>®</sup> Please complete Discretionary Account Application Form 請填寫全權委託帳戶申請表格	
Signed by Client 客戶簽署	
	Name 姓名
	Date (dd/mm/yyyy) 日期 (日/月/年)



<b>For Internal Use Only 內部專用</b>			
<b>Checklist 檢查清單</b>			
<b>Signature Verified 已驗證簽名</b> <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否			
<b>Form 表格</b> <input type="checkbox"/> Personal Information Collection Statement Concerning China Connect Trading Orders 有關中華通買賣盤的個人資料收集聲明 <input type="checkbox"/> Professional Investor Assessment Form 專業投資者評估表格 <input type="checkbox"/> Discretionary Account Application Form 全權委託帳戶申請表格 <input type="checkbox"/> Others: 其他: _____			
<b>Limit 額度</b>		<b>Interest Rate 利息比率</b>	
<b>Credit Limit 信用額度</b>	<b>Margin Limit 保證金額度</b>	<b>Overdue Interest Rate 逾期交收利息比率</b> (%)	<b>Margin Interest Rate 保證金利息比率</b> (%)
<b>Commission 佣金</b>			
<b>Market 市場</b>	<b>Telephone Trading 電話交易</b>		<b>Internet Trading 互聯網交易</b>
<b>Hong Kong Equities 香港股票</b>	<b>Commission Rate 佣金比率</b> (%)	<b>Minimum Charge 最低收費</b>	<b>Commission Rate 佣金比率</b> (%)
<b>China Connect 中華通</b>	<b>Commission Rate 佣金比率</b> (%)	<b>Minimum Charge 最低收費</b>	<b>Commission Rate 佣金比率</b> (%)
<b>Global Equities 環球股票</b>	<input type="checkbox"/> Standard commission table 標準收費表		<input type="checkbox"/> Customized commission table 定制佣金表
<b>Bonds 債券</b>	<b>Commission Rate 佣金比率</b> (%)		<b>Minimum Charge 最低收費</b>
<b>Hong Kong Futures 香港期貨</b>	<input type="checkbox"/> Standard commission table 標準收費表		<input type="checkbox"/> Customized commission table 定制佣金表
<b>Approval 審批</b>			
<b>Limits Approved by Risk Officer 風險主任批准額度</b> Date: 日期:		<b>Data Inputted by Settlement Officer 結算主任輸入資料</b> Date: 日期:	
<b>Inputted Data Checked by Settlement Officer 結算主任檢查已輸入資料</b> Date: 日期:		<b>Approved by Responsible Officer 負責人員審批</b> Date: 日期:	
<b>Approved by Chief Operating Officer 營運總監審批</b> Date: 日期:			